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**Sex-specific impact of inbreeding on pathogen load in the striped dolphin**

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## Abstract

The impact of inbreeding on fitness has been widely studied and provides consequential inference about adaptive potential and the impact on survival for reduced and fragmented natural populations. Correlations between heterozygosity and fitness are common in the literature, but they rarely inform about the likely mechanisms. Here we investigate a pathology with clear impact on health in striped dolphin hosts (a nematode infection that compromises lung function). Dolphins varied with respect to their parasite burden of this highly pathogenic lung nematode (*Skrjabinalius guevarai*). Genetic diversity revealed by high resolution restriction associated DNA (43,018 RADseq SNPs) analyses showed a clear association between heterozygosity and pathogen load, but only for female dolphins, for which the more heterozygous individuals had lower *Skrjabinalius guevarai* burden. One locus identified by RADseq was a strong outlier in association with parasite load (heterozygous in all uninfected females, homozygous for 94% of infected females), found in an intron of the Citron Rho-Interacting Serine/Threonine Kinase (CIT) locus (associated with milk production in mammals). Allelic variation at the Class II MHC DQB locus was also assessed and found to be associated with both regional variation and with pathogen load. Both sex specificity and the identification of associating functional loci provide insight into the mechanisms by which more inbred individuals may be more susceptible to the infection of this parasite. This provides important insight towards our understanding of the impact of inbreeding in natural populations, relevant to both evolutionary and practical conservation considerations.

## 1. Introduction

Genetic diversity affects both short-term individual fitness and long-term population adaptive potential, and these factors are inter-dependent. Populations need to retain diversity to respond to new selection pressures in a changing environment, including pathogen challenges, which may in turn be affected by environmental change. A relationship between diversity and fitness has been shown in small populations where susceptibility to pathogens can be promoted by the loss of heterozygosity due to both inbreeding and genetic drift (e.g. [1]). In fact this relationship holds for a broad range of population sizes, and there have been many studies reporting heterozygosity-fitness correlations (HFCs; see reviews in [2, 3]) and assessments of the most effective measures of inbreeding to identify these correlations (see [3]). Many earlier studies used microsatellite DNA markers, but more recent studies demonstrate that the greater power available by genome sampling reveals patterns that may otherwise have been missed (e.g. [4, 5, 6]). The restriction associated DNA (ddRADseq; [7]) method employed here screens across the genome at thousands of loci.

A positive correlation could be associated with a particular locus, loci in linkage disequilibrium (LD) with the marker loci, or it may reflect a more general pattern of inbreeding across the genome (see [8]). In a study on Galapagos sea lions (*Zalophus wollebaeki*), the relative importance of genome-wide effects compared to specific loci (in that case the major histocompatibility complex 'MHC' loci) were investigated [9]. The authors controlled for genome-wide inbreeding to test the influence of the MHC DRB locus and found strong associations between DRB diversity and all tested fitness traits (which included birth mass, pup survival and female reproductive success), indicating that single-locus effects can be important.

At the same time, from a review of the literature, Szulkin et al. [10] concluded that HFC can most often be explained by inbreeding, which affects the whole genome.

Evidence for balancing selection at MHC loci to retain diversity is extensive, and defense against pathogens is the likely driver (e.g. [11]). This is known to be an important factor in marine species, including cetaceans [12, 13]. Diversity at these loci may also be promoted by sexual selection, based on evidence that females in some species select mates based on their MHC genotype [14, 15]. Vassilakos et al. [13] found evidence for regional variation in the charge structure of the peptide binding region of the MHC DQB locus (among the ‘pocket 4’ residues that influence T-cell recognition; after [16]) for two species of cetacean (*Orcinus orca* and *Tursiops truncatus*), which may imply fitness variation among populations associated with local adaptation.

Here we study inbreeding in striped dolphins (*Stenella coeruleoalba*) with variable burdens of the parasitic lungworm, *Skrjabinalius guevarai*. Lungworm nematodes are common in the delphinid respiratory system [17], and this species of lungworm can cause almost total occlusion of bronchi and bronchioles [18, 19]), thereby imposing a significant impact on health. We test the hypothesis that there will be a correlation between genome-wide measures of heterozygosity and pathogen load, but also look for strong effects at single loci (from a genome scan), and at exon 2 of the MHC DQB locus, including pocket 4 charge properties in the peptide binding region (see [13]). Given the potential for a differential impact of parasite load on males and females (e.g. associated with the added energetic requirements of females during pregnancy), we also test the hypothesis that the relationship between parasite load and inbreeding will differ between males and females.

## 2. Materials and Methods

### (a) Samples and sex identification

Eighty four striped dolphins from the western Mediterranean Sea (near Valencia, Spain) were collected as stranded animals between 1990 & 2008 (see Table S1). Of these 51 were collected during periods of morbillivirus epizootics [20] and 33 were outside those time periods. Morbillivirus infection was not confirmed for the samples collected during the epizootics and used in this study. Dolphins were transferred to the laboratory where necropsy and anatomical analyses were carried out immediately (following the protocols of [21]), or alternatively stored at -20°C for later analysis. Sex was identified visually or by PCR on DNA extracted using standard protocols (see [22]) using the primers P15EZ, P23EZ for the Zfx/Zfy gene (after [23]) or Y53-3c and Y53-3d for the SRY gene [24]. We considered dolphins longer than 160 cm to be at least several years old (after [25]). There were 8 out of 68 for the ddRAD analyses and 16/ 80 for the MHC analyses that were shorter than 160 cm. In case parasite load is associated with age (e.g. due to accumulation with time or the nature of infection), we repeated all analyses excluding the younger dolphins. All results that had been significant for the full dataset remained significant (data not shown).

### (b) Parasite analysis

Lungs were removed for parasite analysis and each lung was weighed to the closest milligram. The lung was always opened starting from the main bronchus of the upper lobe which is connected to the trachea, and then the duct of each bronchioles and alveoli were followed through to the end of the bottom lobe (see Figure S1). Only whole parasites or the parasites' tail were collected, and then

stored in saline buffer to maintain a constant pH and isotonic environment [26]. After cleaning with the isotonic buffer, parasites from each lung were preserved in 70% alcohol. After gross examination, lungs were washed out on a 0.2 mm sifter and any parasites (whole or tails) obtained were collected. All parasites were examined in a stereoscope for species identification. Furthermore, 10% of the total number of parasites were prepared and screened under a microscope to ensure the consistency of species identification. A Petri dish with divided areas was used for the parasite counting. Parasites of each lung were combined for the total individual lung-parasite burden.

There was a relatively low number of worms (1 – 20; for both lungs combined) for some infected individuals, and visual examination indicated that this number of worms was not sufficient to occlude the bronchioles or alveoli. At the same time, a medium or high level of infestation resulted in obvious occlusions. This may suggest a threshold value above which an impact may begin to be seen. For this reason, analyses included the comparison of two infestation categories; none/low infection (0 to 20 parasites) and medium/high (>20 parasites) infection. However, it is possible that pathology is also or primarily related to secondary bacterial infections, though we have no data on this for these animals. For example, *Torynurus convolutus* and *Pseudalius inflexus* lung infestation led to secondary bacterial infections responsible for mortality of North and Baltic Sea harbour porpoise (*Phocoena phocoena*; [27])). Therefore, we also consider the comparison between lungworm infected and uninfected dolphins.

#### (c) Genomic analyses

We constructed a DNA library of 84 samples (7 pools of 12 samples each) following the ddRADseq protocol described in [7]. We chose a 6 bp cutter (HindIII) and a 4 bp cutter (MspI) based on in silico simulations with the R package SimRAD [28]. The fragment size selection window was 250 - 350 bp with a size range of 100bp (selected using a Sage Science PippinPrep). Sequencing was paired end (2X 125bp) in one lane on an Illumina HiSeq\_2500 (version 4 chemistry). Reads were trimmed to 110 bp and demultiplexed using the process\_radtags command of the software STACKS [29]. After quality control (rejecting samples with less than 1 million reads) 68 samples were retained and there was an average of 2.8 million read pairs per sample among these. Paired reads were mapped against the *Tursiops truncatus* genome (accession GCA\_001922835.1; [30]) using BWA v. 0.7.12 (bwa mem -aM; [31]). Each resulting sam file was converted to bam format using SAMTOOLS v.1.3. [32].

Using the command SelectVariants, indels and non-biallelic SNPs were filtered out. Then using the command VariantFiltration, SNPs were filtered based on mapping quality using the following settings: --filterExpression "QD<2.0||FS>60.0||MQ<40.0||MQRankSum<-12.5||ReadPosRankSum<-8.0". The QUAL score (QD) was normalized by allele depth (AD) for a variant, and the Phred- scaled p-value (FS) used Fisher's exact tests to detect strand bias. The MQRankSum command set the Z-score from a Wilcoxon rank sum test of Alt vs. Ref read mapping qualities, and ReadPosRankSum did this for read position bias. SAMTOOLS was also used to pick up reads in concordance and retain SNPs with a single hit. Loci were assembled using the GATK HaplotypeCaller [33].

Using the -filterAlign plugin through TASSEL v.5.0 [34], the vcf file was filtered to require a minimum of 80% of taxa for which the SNP must have been



177 scored and a minor allele frequency (MAF) of 0.05. These settings generated  
178 83,414 SNPs. Vcf-tools [35] through the command --thin (set at 200bp) was then  
179 used to retain a single SNP per read, reducing the final number to 43,018 SNPs for  
180 further analyses. The software TASSEL v.5.0 was also used for General Linear  
181 Model analysis. As required by TASSEL, the vcf file was sorted using the  
182 SortGenotypeFile plugin. For the Generalized Linear Model (GLM) analyses the  
183 filtered vcf file and the trait file (samples allocated to different infestation  
184 categories, age class and mortality during a morbillivirus event) were merged  
185 through the -intersect command. For the final GLM analysis, permutations were  
186 set to 1,000, under the *-FixedEffectLMPlugin* command. This function performs  
187 association analysis using a least squares fixed effects linear model and utilizes a  
188 fixed effects linear model to test for association between segregating sites and a  
189 trait. The qqman package [36] was used to visualize Manhattan plots and QQ plots  
190 of the outputs of the GLM analyses. The program plots the negative logarithm of p-  
191 value for each SNP across the genome. Bonferroni type one correction was used to  
192 assess significance for multiple tests.

193       The samples were considered to be from a single population, and to confirm  
194 this we used the PCA method implemented in the R package Adegnet version 2.0  
195 [37]. To test for presence of SNPs on sex chromosomes we used the Perl script  
196 nucmer in the program MUMmer [38] to align the *Tursiops* reference genome  
197 against the Cow genome (accession number: GCA\_002263795.2; [30]). The  
198 program finds maximal exact matches and aligns them to join the clusters into a  
199 single high scoring pair-wise alignment. The 'delta' file generated by this analysis  
200 was filtered using the --delta-filter flag. The program show-coords was then used to

201 parse the delta alignment output displaying summary information such as position,  
202 percent identity and percent alignment coverage.

203 We compared pathogen loads against several metrics of genomic diversity  
204 derived using the R package InbreedR [39]. The first is standardised multilocus  
205 heterozygosity (*sMLH*; see [10]), which assesses average heterozygosity across the  
206 genome. We used the second metric,  $g^2$  [40], as a proxy for identity  
207 disequilibrium, providing an estimate of variation in identity by descent (e.g. if  
208  $g^2=0$  there is no variance in inbreeding in the sample). We also show the results  
209 from an alternative method for assessing identity disequilibrium, heterozygosity-  
210 heterozygosity correlation coefficients (HHC; [41]). This analysis reiterates the  
211 comparison of random subsets to show the distribution of HHC in the sample. We  
212 provide this as an illustrative metric, since it is less robust as a statistic than  $g^2$  given  
213 that samples within the HHC distribution are non-independent. To further consider  
214 the role of inbreeding, we ran two analyses (see [10, 42]), one for the expected  
215 correlation between the trait value ( $W$ , pathogen load in this case) and  
216 heterozygosity ( $h$ ):  $r^2_{wh}$ , and one for the expected correlation between inbreeding  
217 level ( $f$ ) and the trait value ( $r^2_{wf}$ ). All analyses quantifying diversity assessments  
218 for the SNP dataset were repeated for the 23 microsatellite DNA locus dataset,  
219 which was analysed for the same set of samples and reported in [22].

#### 220 221 (d) MHC analyses

222 Exon-2 of the MHC Class II DQB1 locus was amplified with DQB1 F:  
223 CTGGTAGTTGTGTCTGCACAC & DBQ1 R: CATGTGCTACTTCACCTTCGG  
224 (after [43]). Reaction conditions were 10mM Tris-HCl, 50mM KCl, 2.5mM  
225 MgCl<sub>2</sub>, 0.2mM of each dNTP, 0.25μM of each primer, 2 units of high fidelity Pfu

226 Taq polymerase (Promega, UK), 0.8mM DMSO 20% and 1µl of total DNA in 20µl  
227 final volume. The PCR cycling profile was an initial denaturation step at 95°C for  
228 15 minutes, following by 30 cycles of denaturation at 95°C for 1 minute, annealing  
229 at 55°C for 30 seconds, and elongation at 72°C for 30 seconds followed by a final  
230 elongation step at 72°C for 15 minutes. To identify allelic diversity individuals  
231 were screened by Single Strand Conformation Polymorphism (SSCP) analysis [27].  
232 Allelic conformation was visualized by exposure to UV light. The allelic diversity  
233 for each individual was scored and genotypes were assigned. After the  
234 identification of putative unique alleles, the same PCR products were loaded again  
235 onto a non-denaturing acrylamide gel (6%) and this time the bands representing  
236 unique alleles (with some replication) were extracted from the gel.

237       Gel fragments were crushed in 50µl of 10mM T.E. and incubated overnight at  
238 37°C. One µl of the solution was then amplified by PCR (using the same  
239 concentrations and PCR profile as described before) using the high fidelity Pfu Taq  
240 polymerase. PCR products, prior to sequencing, were purified using a Qiagen  
241 QIAquick PCR purification Kit<sup>TM</sup>, to remove primer dimmers, unincorporated  
242 dNTPs and chemicals, according to manufacturer instructions. Purified DNA was  
243 sequenced in both directions on an ABI 377 automated sequencer. The PCR  
244 products of the putative unique alleles were cloned, using Easy T-Vector Cloning  
245 Kit (Promega) according to manufacturer instructions, in order to compare allele  
246 sizes and confirm that a single band represented a single allele. Up to 8 clones were  
247 screened by SSCP from different individuals. A total of 80 individuals were  
248 successfully genotyped from the Valencia population. For this analysis and  
249 additional 22 striped dolphin samples from Ireland were also genotyped to consider  
250 the possibility of regional variation at the MHC loci (as reported earlier [13]). The

samples from Ireland were from stranding events outside of morbillivirus periods. Sequences were analysed using ChromasPro v. 1.5 (Technolysium Ltd.). Nucleotide sequences were aligned using ClustalX v. 2.0.12 [44]. BLAST (<http://www.blast.ncbi.nlm.nih.gov/Blast.cgi/>) was used to confirm that DNA sequences represented the exon-2 MHC Class II DQB1 locus. Rates of non-synonymous and synonymous substitutions were calculated using the software MEGA v. 6 [45]. The  $d_N/d_S$  ratio was computed according to the Nei-Gojobori method [46].

Amino acid distributions were calculated in the 10 residues of the peptide binding region (PBS; after [47]) to test for associations with specific functional components and parasite load. The charge of amino acids of P4 pocket was based on the  $\beta 70$   $\beta 71$   $\beta 74$  residues according to physicochemical properties [48]. The amino acids' supertype state was determined according to the following categorization [49]:

(n) Neutral supertype: *F, M, W, I, V, L, A, P, C, N, Q, T, Y, S, G*

(+) Positive supertype: *H, K, R*

(-) Negative supertype: *D, E*

The total charge of each allele was the sum of each residue's charge. For example if an allele was positively and negatively charged in the Pocket 4 it was classified in the di-charged supertype group. A Generalised Linear Model (GLM) was performed to evaluate associations between MHC genotype and gender, length, morbillivirus epizootic event periods and parasite load. The morbillivirus epizootic events were categorised as: 1) samples from the first recorded event from 1990 to 1992, 2) samples from 1993 to 2006 which were outside of the morbillivirus epizootic events, and 3) samples from after 2007 during the second

morbillivirus epizootic. Parasite load was used as the response variable. Statistics were conducted using the statistical package R-platform. False Discovery Rates were determined using the largeQvalue software package [50].

### 3. Results

#### (a) Parasites

Comparing dolphins collected during or outside the time of morbillivirus epizootics showed no significant differences in genetic diversity, and no clear distortion of the pattern observed for lungworm infection (for either SNP or MHC analyses; data not shown), so all samples were used for further assessment based on lungworm parasite load. For the SNP dataset, 42 animals were infected with lungworm (61.8%), whereas 26 (38.2%) were uninfected. Only a single parasite species was found during the gross lung examination, the nematode *Skrjabinalius guevarai* (Nematoda: Pseudaliidae). The infestation load among individuals ranged from 0 to 370 worms. Lungworm counts fit a negative binomial distribution (skewness measure=0.159, with respect to the negative binomial,  $p < 0.05$ ). Parasite count intensity parameters (skewness, mean, median, exact confidence intervals) are shown in table S2. There were 18 infected individuals out of the 30 female striped dolphins, and 24 infected out of 38 males (Table S1) and these ratios are not significantly different (Contingency Test: Pearson chi-square= 0.02,  $P = 0.8875$ ).

#### (b) Genomic measures of diversity

PCA analyses revealed a single cluster with a few outliers (figure S2), so the sample set was treated as a single population. All results were essentially the same when those outliers were removed (see Supplementary file and below), and so all

301 samples were retained. No significance was found for any tested associations  
302 between parasite load and genomic diversity as estimated using 23 microsatellite  
303 DNA markers (e.g. figure S3). Further analyses reported are therefore based on the  
304 43,018 ddRAD SNP dataset. From our mapping of the *Tursiops* genome against  
305 cow (*Bos Taurus*, sequenced to chromosomes), we identified contigs in the  
306 *Tursiops* genome that map to the cow X-chromosome (see table S3). None of our  
307 SNPs map to those contigs, and so our results will be unbiased by sex-linked loci.  
308 For the SNP data, standardized Multilocus Heterozygosity (*sMLH*) was strongly  
309 correlated with parasite load in female individuals, but not in males (based on all  
310 individuals; see results in figure 1). This remains true when only infected  
311 individuals are considered (females:  $r^2_{wh} = 0.517$ ,  $F_{1,16} = 17.14$ ,  $p = 0.0007$ ; males:  
312  $r^2_{wh} = 0.030$ ,  $F_{1,22} = 1.804$ ,  $p = 0.193$ ; table 1), and when the three female samples  
313 with the lowest *sMLH* were removed ( $r^2 = 0.26$ ,  $F_{1,13} = 8.08$ ,  $P = 0.0089$ ).

314 Mean *sMLH* was significantly elevated in low level compared to high level  
315 infected female individuals (*sMLH* =  $1.10 \pm 0.046$  (s.d.) vs  $0.948 \pm 0.177$ ,  
316 respectively; Mann-Whitney U test,  $Z = 2.523$ ,  $p = 0.0114$ ; figure 2; see table 1 for  
317 variance values). For males there was no significant difference ( $0.966 \pm 0.170$  vs  
318  $0.961 \pm 0.132$ ;  $Z = 1.40$ ,  $p = 0.132$ ). A highly significant relationship was also  
319 obtained between parasite load and measures of genome-wide inbreeding in female  
320 individuals, but not in males (see  $r^2_{wh}$  and  $r^2_{wf}$  in table 1). Analysing all 68 samples  
321 together,  $g^2$  (assessing inbreeding among loci; [10, 38]) was significantly different  
322 from zero ( $p = 0.001$ ), implying inbreeding (table 1). The distribution of  
323 heterozygosity– heterozygosity (*het-het*) correlation coefficients (HHC) show tight  
324 distributions for both SNP (table 1, figure S3) and microsatellite DNA data (figure  
325 S4) with a mean close to one, suggesting inbreeding. The GLM analysis

(implemented in TASSEL) showed a highly significant association between parasite load and a specific SNP, but this was revealed only in female individuals ( $p = 8.21 \times 10^{-11}$ ; figure S5) after correction for Type I error (threshold  $p = 1.16 \times 10^{-6}$ ). This SNP is found within the intronic region of the Citron Rho-Interacting Serine/Threonine Kinase (CIT) gene that functions in cell division. Uninfected females were all heterozygous at this SNP, and all but one infected individuals were homozygous (table 2). GLM analyses based on age class and/or mortality during morbillivirus events in conjunction with infestation categories for each gender did not reveal any strong associations as illustrated in the QQ-plots (figure S6). All analyses were replicated omitting the four outlier individuals from the PCA analysis shown in Figure S1. There were no differences in the patterns observed or levels of significance, illustrated by a replication of Table 1 omitting these samples in Table S4.

#### (c) MHC variation

Sequenced MHC clones revealed no more than two sequences in each individual. Twenty one alleles were found with a unique amino acid composition (table S5). Alleles were named Sc-DQB\*01 to Sc-DQB\*21 according to their frequency in the study population. A Blast search indicated amplification of the correct locus. Only one individual was homozygous at this locus, and so a test between parasite load and heterozygosity was not possible. In pocket4 of the PBS region, 100% of the translated amino acids were variable. The rate of nonsynonymous ( $d_N$ ) compared to synonymous substitutions ( $d_S$ ) was significantly elevated at antigen binding sites and within the P4 region (table 3). There was a significant difference in the P4 charge property profile comparing the populations

in Valencia and Ireland ( $\chi^2 = 9.16$ , d.f. = 2,  $p = 0.01$ ; figure S7). In the Valencia population we compared parasite load levels with allelic diversity. We found two alleles (Sc-DQB\*11 and Sc-DQB\*21) that were disproportionately likely to be present in individuals with no parasites (binomial test for the presence of either or both alleles calculating the combined probability (frequency) of these alleles in dolphins with parasites, and then determining the probability of finding none among the dolphins without parasites,  $p = 7.02 \times 10^{-8}$ ; table S3). GLM analyses did not reveal any associations between factors listed in table S6.

#### 4. Discussion

Our RADseq analyses showed that genome-wide heterozygosity was significantly associated with lungworm infection, especially beyond a stage of infection that reflects substantial blockage of airways, however only in females. This could have important implications both for understanding evolutionary process (e.g. if selection affected males and females separately as a consequence), and for developing conservation strategy (e.g. if females are more impacted by low effective population size ( $N_e$ ) and the loss of diversity than males). Lungworm infection is widespread in marine mammals [51] impacting on the health of both cetaceans and pinnipeds (e.g. [4]). Therefore inference drawn from our study could have implications for a broad range of other species.

A significant result restricted to females may be due to maternal stress factors such as parturition or nursing, causing females to cross a threshold such that the association with parasite resistance becomes apparent. In a study of Seychelles warbler (*Acrocephalus sechellensis*) Richardson et al. [52] found that the offspring of highly heterozygous females survived better than the offspring of inbred



mothers, potentially associated with female condition. They found no HFC for males. Jamieson et al. [53] also showed that the mother's level of inbreeding affects offspring fitness in the New Zealand takahe (*Porphyrio hochstetteri*). For the song sparrow (*Melospiza melodia*) Keller [54] showed that a reduction in fitness associated with inbreeding was only seen in inbred female individuals. Although we have no pedigree data, and so cannot assess impact on the health of offspring, a direct relationship between maternal and offspring health is often implied.

Relevant to this, we found a female-specific effect at the Citron Rho-Interacting Serine/Threonine Kinase (CIT) locus, discovered from screening the RAD data across the genome. CIT functions in cell division. Together with kinesin (KIF14), this protein localizes to the central spindle and mid-body of the cell, and functions to promote efficient cytokinesis. Smith et al. [55] argue that a greater increase in the percentages of bi-nucleated/ multinucleated cells were seen after expression of EGFP-bSV-831–1281, which contains a coiled-coil sequence and binding sites for the central-spindle protein KIF14. CIT is required for KIF14 localization to the central spindle and mid-body, so there is connection between CIT and the presence of bi-nucleated/ multinucleated cells, since CIT plays a role in cytokinesis and displays the serine/ threonine protein kinase activity. Rios et al. [56] suggest that bi-nucleated cells evolved to maximize milk production and promote the survival of offspring across all mammalian species through the expression of serine/threonine kinases (AURKA and PLK-1) as key-regulators of cytokinesis. This may suggest a more direct connection with fitness, whereby maternal inbreeding depression could affect the survival of offspring due to processes associated with lactation.

401           However, it isn't clear why CIT heterozygotes in particular would be  
402 associated with reduced lungworm infection. Furthermore, the SNP appears in an  
403 intron, and therefore is not certain to affect the structure or expression of the CIT  
404 locus (though intronic mutations can affect gene expression with or without an  
405 impact on alternative splicing; e.g. [57]). Given that our scan was based on a finite  
406 number of SNPs and referenced against a related species (*Tursiops truncatus*), it is  
407 likely that there are other relevant loci not identified by our analysis, and possible  
408 that the SNP identified is actually in LD with another locus that reflects the true  
409 function affected. Furthermore, there could be a heterosis effect at CIT or some  
410 other locus, such that the relevant function is improved for heterozygote females,  
411 but further work would be required to assess this and better understand the  
412 mechanisms.

413           The life history of the parasite may provide some insight into the sex-specific  
414 pattern we observe. This is a pseudaliid parasite, and although little is known about  
415 the life history of any species in this group, the high level of infection in juvenile  
416 and even neonate hosts for a number of parasite species supports the possibility of  
417 vertical transmission in milk or via the placenta (see review in [58]). For example,  
418 there is evidence for trans-placental transmission of *Halocercus lagenorhynchi* in  
419 bottlenose dolphins (*Tursiops truncatus*; [59]). At the same time, the prevalence of  
420 infection in older cetaceans of some species suggests the potential for horizontal  
421 transfer (see [58]). Analyses of *Skrjabinalius guevarai* in striped dolphins strongly  
422 suggests vertical transfer since neonates with only milk in their stomachs were  
423 found with up to 80 parasites [60]. However infection in adults suggests the  
424 possibility of horizontal transfer for this host parasite system as well. Vertical  
425 transfer may be another way in which inbreeding and a consequent higher infection

rate in female striped dolphins could impact on fitness in this species through a greater transfer of parasites to offspring

For exon-2 of the MHC Class II DQB1 locus, two alleles showed a significant association with parasite load, in this case between those with no parasites compared to those with infection at any level, and for both males and females. There are a number of other studies that also show this type of association between parasite load and class II MHC alleles, for example in association with the frequency of a DRB\*1 allele in striped mice (*Rhabdomys pumilio*) infected with a gastrointestinal parasite [61]. However, the effect is not universal and likely depends on the particular relationship between the locus or loci investigated and the specific pathogen [62, 63]. The implication is a selective advantage for particular alleles in the context of specific pathogens. Consistent with this, we found that the charge properties at the pocket 4 residues in this locus varied between the two sampled populations, showing the possibility of directional selection and local adaptation as seen for two other delphinid species in an earlier study [13].

In this study we show that there is a significant female-specific association between genomic heterozygosity at 43,018 SNP loci and infestation with a parasite that can reduce lung function, even though an assessment using 23 microsatellite DNA loci showed no association. A significant  $g^2$  value suggests that this relationship is associated with inbreeding [10]. Possible balancing selection restricted to females (given that all uninfected females were heterozygous) at a locus relevant to lactation (CIT) and likely vertical transmission of parasites from mother to offspring [60], may suggest a more direct connection to fitness if the health of offspring is affected. We have no data that could directly explain why females exposed to this pathogen are apparently more impacted by inbreeding than

males. However, female-specific effects from inbreeding have been suggested to be due to various possible factors including maternal investment [64], sex-specific gene expression [65], and sexual selection or life history [66]. Among the possible explanations, maternal investment seems most likely for mammals in general, where only females invest in the gestation and post-natal development of offspring. For the class II MHC DQB locus we find no sex-specific association, but we do find putative adaptive differences among populations (c.f. [13]), and an association between parasite load and genotype for both sexes. In this case the association may be based on directional selection. Together these data extend our understanding of the mechanisms by which genomic diversity can be associated with pathogen resistance and ultimately, fitness. If a sex bias were to be strong and consistent, it could affect sex ratios and  $N_e$ , and thereby have an impact on strategy for effective conservation of these populations.

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476    **Data Accessibility**

477    DNA sequences: Genbank accessions: MHC loci: XXXXX-XXXXX; RADseq

478    reads: PRJNA606402. Vcf files for SNP data: Dryad:

479    doi:10.5061/dryad.qv9s4mwb5

**Table 1.** Metrics from the InbreedR analyses for 43,018 SNP loci. Distribution variance of standardized Multilocus Heterozygosity [ $\sigma^2(h)$ ], expected correlation between a fitness trait and heterozygosity ( $r^2_{wh}$ ), expected correlation between a fitness trait and inbreeding ( $r^2_{wf}$ ), Identity disequilibrium ( $g^2$ ), distribution variance of heterozygosity - heterozygosity correlation coefficient ( $HHC$ ) with confidence intervals (CI: 2.5% - 97.5%). Values in bold indicate statistical significance.

	$\sigma^2(h)$	$r^2_{wh}$	$r^2_{wf}$	$g^2$	HHC
All (68)	0.0226	0.019	0.0148	<b>0.030</b> $\pm$ 0.007, p=0.001 (CI: 0.016 – 0.041)	0.997 $\pm$ 0.001 (CI:0.996 - 0.998)
Female (30)	0.0155	<b>0.517</b>	<b>0.387</b>	<b>0.021</b> $\pm$ 0.01, p=0.001 (CI: 0.003 – 0.040)	0.991 $\pm$ 0.002 (CI: 0.987 – 0.996)
Male (38)	0.026	0.030	0.0236	<b>0.030</b> $\pm$ 0.01, p=0.001 (CI: 0.02 – 0.07)	0.994 $\pm$ 0.001 (CI: 0.991 – 0.997)

**Table 2.** Genotypes of the female striped dolphin individuals of the outlier SNP within the gene Citron Rho-Interacting Serine/Threonine Kinase (CIT). Colour coded for homozygous (blue or yellow) and heterozygous (green). ‘N’ means the individual could not be scored.

Uninfected		Infected	
Genotype	Parasites	Genotype	Parasites
AC	0	CC	1
AC	0	AA	3
AC	0	AA	4
AC	0	AC	6
AC	0	CC	7
N	0	AA	12
AC	0	AA	16
AC	0	AA	16
AC	0	CC	21
AC	0	AA	37
N	0	AA	80
AC	0	AA	104
		AA	105
		AA	119
		CC	135
		AA	166
		AA	232
		N	256

**Table 3.** Estimated rates of Nonsynonymous ( $d_N$ ) and Synonymous ( $d_S$ ) substitutions for Non-Antigen-Binding Sites (Non-ABS), Pocket 4 Peptide Binding Sites (P4-PBS) and Antigen-Binding-Sites (ABS) of the exon-2 MHC Class II DQB1 locus of striped dolphin individuals. Significance was assessed using a two tailed test of the probability that  $d_N$  and  $d_S$  are different using z-test.

Position	$d_N$	$d_S$	$d_N/d_S$	$p$ ; $z$ -test value
Non-ABS	$0.026 \pm 0.013$	$0.014 \pm 0.014$	1.85	$0.260$ ; $z = 0.646$
P4-PBS	$0.268 \pm 0.037$	$0.070 \pm 0.064$	3.82	$0.002$ ; $z = 2.949$
ABS	$0.190 \pm 0.054$	$0.009 \pm 0.010$	21.11	$0.00058$ ; $z = 3.465$



## Figure legends

**Figure 1:** Correlation between parasite load (total number of parasites counted per individual) and RAD standardized Multilocus Heterozygosity (*sMLH*) for **a)** 30 female striped dolphin individuals and **b)** 38 male dolphins.

**Figure 2:** Relationship between RAD-*sMLH* mean values ( $\pm$ SE) against the infestation status of the striped dolphin individuals. F low (*sMLH*:  $1.10 \pm 0.013$  s.e.) = females with low parasite load ( $\leq 20$ ); F high (*sMLH*:  $0.948 \pm 0.042$ ) = females with high load ( $> 20$ ); M low (*sMLH*:  $0.966 \pm 0.045$ ) = males with low and M high (*sMLH*:  $0.961 \pm 0.027$ ) = males with high load. Error bars show standard error of the mean.

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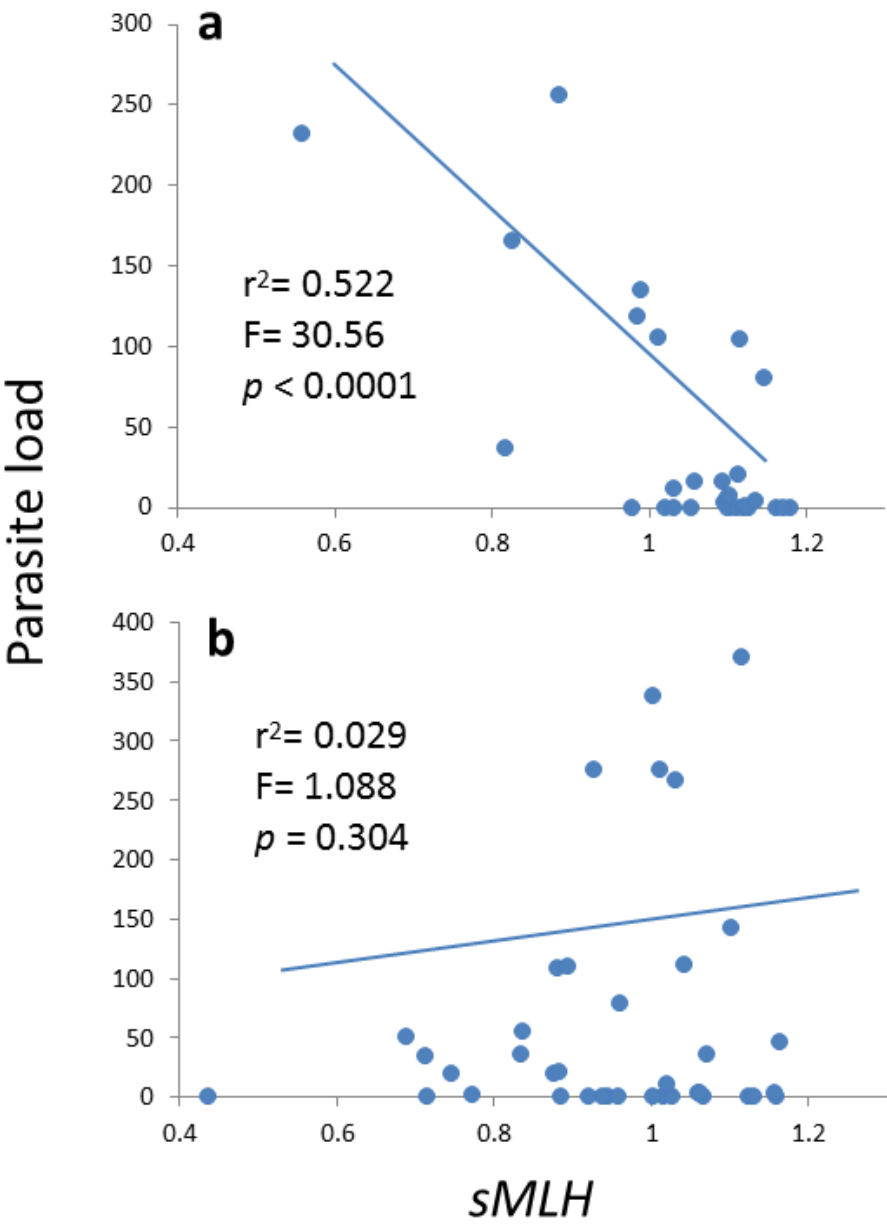
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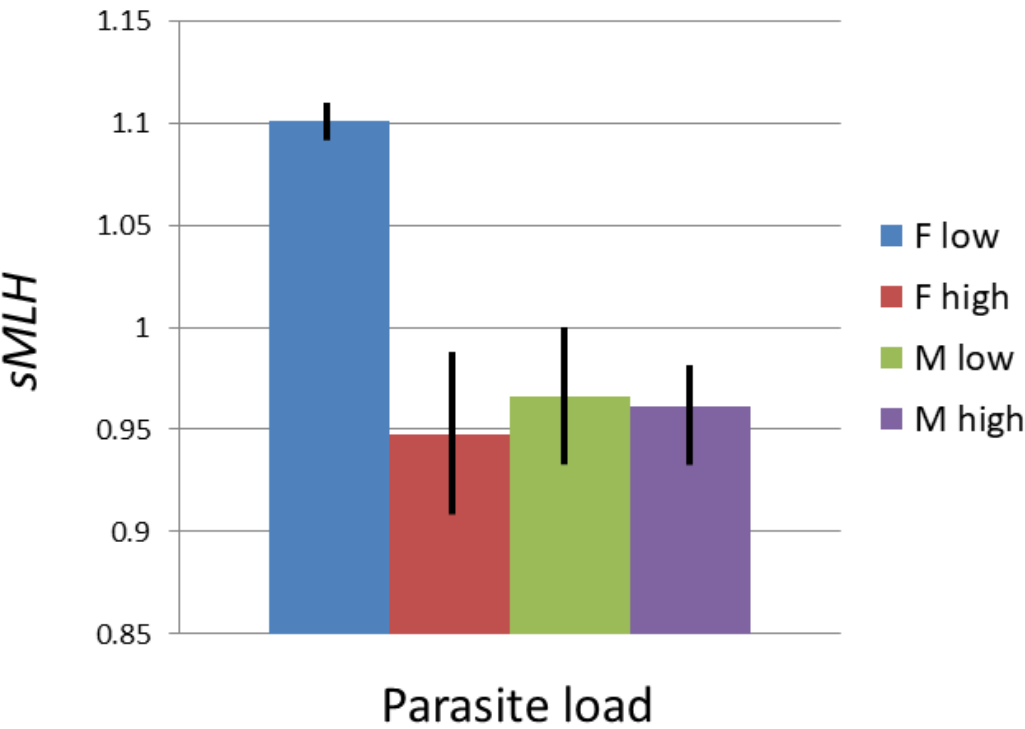
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727 **Figure 2**



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